

FORM PTO-1083

PATENT  
005702-20035 (81788.0020)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Shota KITAMURA et al.

Serial No: 09/392,865

Filed: September 9, 1999

For: NON-VOLATILE SEMICONDUCTOR MEMORY DEVICE  
AND ITS MANUFACTURING METHOD

Art Unit: 2811

Examiner: Thien F. Tran

Box Non-Fee Amendment  
Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Transmitted herewith is an amendment in the above-identified application.

- ☐ Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by a verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 C.F.R. 1.9 and 1.27 is enclosed.
- ☒ Associate Power Of Attorney is enclosed.
- ☒ No additional fee is required.

The fee has been calculated as shown below:

	(Col. 1) CLAIMS REMAINING AFTER AMENDMENT	(Col. 2) HIGHEST NUMBER PREVIOUSLY PAID FOR	(Col. 3) PRESENT EXTRA*	LG/SM \$ ENTITY FEE	ADD'L FEE DUE
TOTAL CLAIMS FEE	5	20	0	LG=\$18 SM=\$9	\$ 0
INDEPENDENT CLAIMS FEE	2	3	0	LG=\$84 SM=\$42	\$ 0
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIMS				LARGE ENTITY FEE = \$280 SMALL ENTITY FEE = \$140	\$ 0
				TOTAL	\$ 0

- \* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.
- \* If the "Highest Number Previously Paid For" in THIS SPACE is less than 20, write "20" in this space.
- \* If the "Highest Number Previously Paid For" in THIS SPACE is less than 3, write "3" in this space. The "Highest Number Previously Paid For" (Total or Independent) is the highest number found from the equivalent box on Col. 1 of a prior amendment or the number of claims originally filed.

- ☐ A check in the amount of \$\_\_\_ to cover the additional claims fee is enclosed. A copy of this sheet is enclosed.
- ☐ A check in the amount of \$\_\_\_ to cover the extension fee is enclosed. A copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized to charge any deficiencies of fees associated with this communication or credit any overpayment to Deposit Account No. 50-1314. A copy of this sheet is enclosed.
- ☒ Any filing fees under 37 C.F.R. 1.16 for the presentation of extra claims
- ☒ Any patent application processing fees under 37 C.F.R. 1.17

Respectfully submitted,  
HOGAN & HARTSON L.L.P.By: JOHN P. SCHERLACHER  
Registration No. 23,009  
Attorney for Applicant(s)

Date: February 25, 2002

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